

12/21/99
JC685 U.S. PTO

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PATENT APPLICATION TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

BOX PATENT APPLICATION

Name(s) of Inventor(s):	Timothy L.	HUMPHREY
	Xin Allan	LU
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	John T.	MORELOCK
	Joseph P.	HARMON
	Spiro G.	COLLIAS
	Paul	ZHANG

Title of the Invention: AUTOMATED SYSTEM AND METHOD FOR
GENERATING REASONS THAT A COURT
CASE IS CITED

This Application's
Attorney Docket Number: 19165.067

This is a Request under 37 CFR § 1.53(b) for filing:

☒ an Original Patent Application (*not* a continuing application).

☐ a Continuation Application of:
☐ a Divisional Application of:
☐ a Continuation-In-Part ("CIP") application of:
prior ("*parent*") application:
App. No. _____
Filed on: _____
Entitled: _____

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☐ The parent application is a:

☐ Continuation ☐ divisional ☐ continuation-in-part
of "**grandparent**" application:

App. No. _____

Filed on: _____

Entitled: _____

☒

Enclosed are:

55	pages of text (including specification, claims, abstract)
45	claims
4	sheets of drawings
0	computer-readable copies of nucleotide and/or amino acid sequence listings
0	paper copies of nucleotide and/or amino acid sequence listings
0	statement verifying identity of computer-readable and paper sequence listings
0	computer program in microfiche appendix

☐

For Continuations and Divisionals only: This application is filed by fewer than all inventors named in the prior application. **DELETE THE FOLLOWING INVENTOR(S)** named in the prior application:

☐

For Continuations and Divisionals Only: Enclosed is a true copy of the prior application as originally filed, including: specification (including claims), drawings, oath or declaration showing the signature or an indication it was signed, and amendments referred to in the oath or declaration filed to complete the prior application. None of the amendments referred to in the oath or declaration filed to complete the prior application introduced new matter. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

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The Total Filing Fee is calculated as follows:

- ☐ Small entity status is believed proper for this application.
A Verified Statement Claiming Small Entity Status:
- ☐ will be filed at a later date.
☐ is filed herewith.
☐ was filed in the parent application, and such status is still proper and desired.
☐ is no longer desired.
- ☐ Enter the enclosed Preliminary Amendment prior to calculating the filing fee.

				SMALL ENTITY		OR	NON-SMALL ENTITY	
	NUMBER OF CLAIMS PRESENTED		NUMBER OF EXTRA CLAIMS	RATE	FEE		RATE	FEE
TOTAL	45	minus 20	= 25	x 9=	\$ 0		x 18=	\$ 475
INDEP	15	minus 3	= 12	x 39=	\$ 0		x 78=	\$ 936
BASE FILING FEE				+ 380=	\$ 0		+ 760=	\$ 760
Multiple Dependent Claims				+ 130=	\$ 0		+ 260=	\$ 0
				TOTAL	\$ 0	OR TOTAL		\$ 2171

- ☒ A check including the above TOTAL filing fee is enclosed.
- ☒ An original executed Declaration is enclosed.
- ☐ **No** Declaration and **no** filing fee are enclosed. An executed Declaration will be submitted at a later date, with a check for the above filing fee and a surcharge under 37 CFR § 1.16(e). Except for the filing fee, the Commissioner is authorized **throughout the pendency of the application** to charge any fee deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to our Deposit Account No. 18-0810. A duplicate copy of this Transmittal is attached.
- ☐ *For Continuations and Divisionals only:* If extensions of time under 37 CFR § 1.136 other than those provided herewith are required to prevent abandonment of the parent application, then such extensions of time are hereby petitioned to allow co-pendency of the parent and the present continuing application. The Commissioner is hereby authorized to charge any fee deficiency under 37 CFR § 1.17, or credit any overpayment, to Deposit Account No. 18-0810. A duplicate copy of this Form is enclosed.

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- ☐ Before the first line of the specification, insert:
-- This is a ****continuation **divisional **continuation-in-part**** of U.S. Application No. ____, filed ____ 19__.
- ☒ Assignment document(s) is/are submitted herewith, with a check including the recordation fee of **\$40.00 per Assignment**.
- ☐ Foreign priority is claimed under 35 U.S.C. § 119 from:
____ Application No. ____ dated ____.
____ Application No. ____ dated ____.
____ Application No. ____ dated ____.
The certified priority paper(s):
☐ was/were filed in the parent case;
☐ is/are enclosed herewith;
☐ will be submitted at a later date.
- ☐ Also enclosed for appropriate processing are the following:

- ☒ The Commissioner is hereby authorized to charge any fee deficiency under 37 CFR §§ 1.16 and 1.17, or credit any overpayment, during the entire pendency of the application to Deposit Account No. 18-0810. A duplicate copy of this Form is enclosed.
- ☒ Recognize the practitioners associated with **CUSTOMER NUMBER 020,121** as having **POWER OF ATTORNEY** to prosecute this application, to make alterations and amendments, and to transact all related business before the U.S. Patent and Trademark Office.
- ☒ Ensure that the **CORRESPONDENCE ADDRESS** is the address associated with **CUSTOMER NUMBER 020,121**.
- ☒ Ensure that the **FEE ADDRESS** is the address of **CUSTOMER NUMBER 020,121**.

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Prompt processing of the enclosed is respectfully requested.

Respectfully submitted,

THELEN REID & PRIEST L.L.P.

For: Allen S. Melser

By: Raymond C. Glemmy 32,413

Allen S. Melser
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Date: December 21, 1999

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